

Announcements

- Lab Ø Wed 7-9³⁰ (Not Required, in 3120A HHH)
- Prelab 1 due Monday @ 5pm, outside 3120A (Jan. 14)
- HW1 due next Friday @ 5pm (Jan. 18)

Handouts

1. Syllabus
2. Lecture 1 Notes

Today: Review of Continuous-Time (CT) Dynamics and Control (ECE 147A material)

Lecture 1

Reading: FPW (Ch.1 pp.1-8, optional), 2.1-2.5 (pp.11-24, 31-41)
 or: FV 2.1, 5.1-5.3 [Today's readings are review, as needed.]

Topics:

- Overview: CT vs DT analysis
- Time Response
- Frequency Response
- Block Diagrams
- Stability and Stability Margins
- Control: What (are we trying to do), and How (to do it)

Next Class: Difference Equations. Sampling. Tustin (Trapezoid) Approx.
 - FPW: 3.1-3.2 (pp. 57-65), 4.1 (pp. 73-78)
 or: - FV: FV 2.1, 3.1-3.2, 3.4, 6.3.1, 6.3.3

Either book,
not Both!

147A (CT)

- 1) Continuous time (CT), $x(t)$.
- 2) Differential Equations, e.g.,

$$a\ddot{y} + b\dot{y} + cy = d\dot{x} + ex$$
- 3) Laplace Transforms:

$$Xs^n \leftrightarrow \frac{d^n x}{dt^n}$$

$$X \frac{1}{s^m} \leftrightarrow \int x$$

m integrals

- 4) CT Transfer Function (TF):

$$\frac{Y(s)}{X(s)} = \frac{ds + e}{as^2 + bs + c}$$

$$G(s) = \frac{b(s)}{a(s)}$$

← in general →

147B (DT)

- 1) Discrete time (DT) samples, x_k .
- 2) DIFFERENCE Equations, e.g.,

$$ay_{k+2} + by_{k+1} + cy_k = dx_{k+1} + ex_k$$
- 3) z-Transforms:

$$Xz^n \leftrightarrow x_{k+n}$$

$$(e.g., X \frac{1}{z^m} = Xz^{-m} \leftrightarrow x_{k-m})$$

$$3) \text{ DT TF: } \frac{Y(z)}{X(z)} = \frac{dz + e}{az^2 + bz + c}$$

← a ratio of polynomials

$$G(z) = \frac{b(z)}{a(z)}$$

note similarities

147A (CT)

- 5) POLES: Roots of DENOMINATOR (i.e., find solutions for s ...)

$$a(s) = 0$$

Poles affect the **FREE RESPONSE** ($t > 0$).

- 6) ZEROS: Roots of NUMERATOR

$$b(s) = 0$$

Zeros affect the **FREE RESPONSE** ($t = 0$),

147B (DT)

- 5) POLES: same, except polynomial is just in "z" instead of "s":

$$a(z) = 0$$

- 6) ZEROS: same, except "z", not "s"

$$b(z) = 0$$

Check yourself...

$$P(s) = \frac{s-4}{s^2+4} \leftarrow \text{CT TF}$$

1. What are the poles and zeros?

2. What order system is this?

Equals # of poles, 2.

initial condition
including velocity & higher derivs.
"continuous time transfer fn"

Roots of den: $s^2+4=0 \Rightarrow \boxed{s = \pm 2j}$

Roots of num!
 $s-4=0$
 $\boxed{s=4}$

Check yourself...

$$P(s) = \frac{s-4}{s^2+4}$$

3. Is $P(s)$ proper? **Yes! STRICTLY proper!** (Num. is lower order than denom.)
4. Is $P(s)$ causal? **Yes!** Current response, ^(output) does **NOT** depend on future input.
5. What determines if a CT TF is STABLE? (and Why?)

[HINT: e^{st}]

All poles must have real parts < 0 , so " e^{st} " will decay in magnitude as $t \rightarrow \infty$.

6. Sketch a UNIT STEP response for $P_2(s)$:

$$P_2(s) = \frac{s-15}{s+5}$$

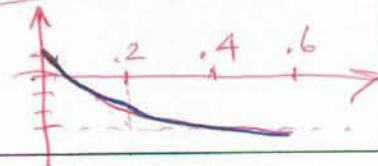
[HINT: IVT and FVT?]

initial value thm

Final Value Thm.

$$\lim_{s \rightarrow 0} \left(s \cdot \frac{1}{s} \cdot \frac{s-15}{s+5} \right) = \frac{-15}{5} = -3$$

$$\lim_{s \rightarrow \infty} \left(s \cdot \frac{1}{s} \cdot \frac{s-15}{s+5} \right) = \frac{15}{15} = 1$$



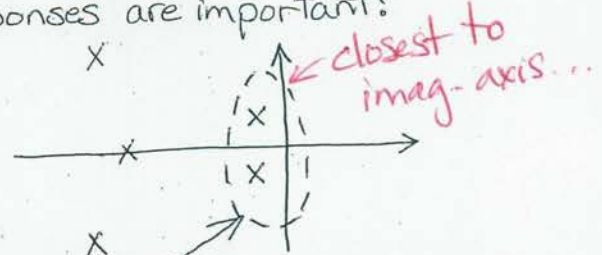
$\tau = \frac{1}{5} = 0.2$

Time Response (CT, only)

• 1st-order & 2nd-order responses are important!

- Higher-order systems often have one or two DOMINANT POLES:

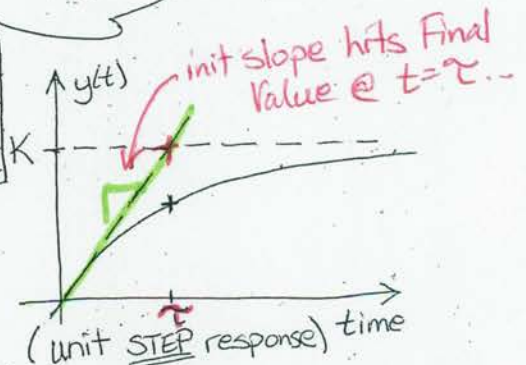
i.e. SLOWEST REAL PART.



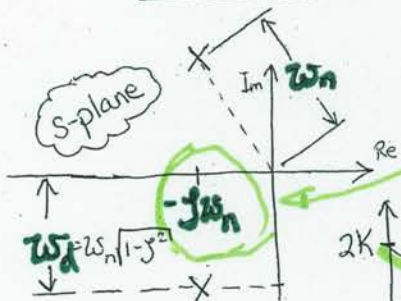
• 1st-order: $H(s) = \frac{K}{Ts + 1}$

- pole: $s = -\frac{1}{T}$

- unit step response: $y(t) = K(1 - e^{-t/\tau})$



• 2nd-order: $H(s) = \frac{K\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$

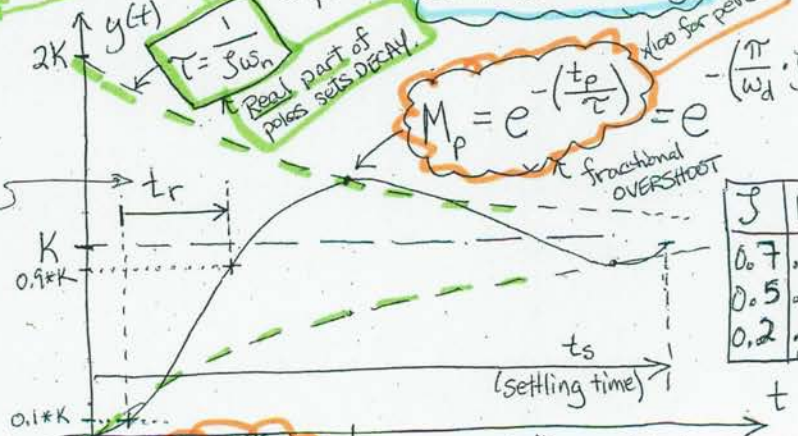


- 2 poles: $s = -\zeta\omega_n \pm j\omega_d$

Exact!

$\tau = \frac{1}{\zeta\omega_n}$ Real part of poles sets DECAY.
 $M_p = e^{-\left(\frac{t_p}{\tau}\right)} = e^{-\left(\frac{\pi}{\omega_d} \cdot \zeta\omega_n\right)}$ fractional OVERSHOOT

$t_r \approx \frac{1.8}{\omega_n}$
 "rise time" (10% → 90%)



ζ	M_p
0.7	0.5 = 5%
0.5	0.16 = 16%
0.2	0.53 = 53%

ω_d : Sets oscill. period
 $\zeta\omega_n$: Sets decay env.

$t_p = \frac{\pi}{\omega_d}$ peak overshoot @ t_p **EXACT** eqn here!!
Exact!

Time Response

vs.

Frequency Response

* For General nth-order system,
"Homogeneous"
"Free" Response?

$$y(t) = \sum_{i=1}^n K_i e^{s_i t}$$

In our example,

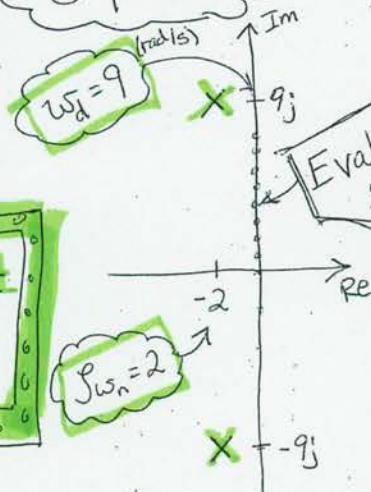
$$y(t) = K_1 e^{s_1 t} + K_2 e^{s_2 t}$$

$$\dot{y}(t) = s_1 K_1 e^{s_1 t} + s_2 K_2 e^{s_2 t} ?$$

where

$$\begin{matrix} s_1 = -2 + 9j \\ s_2 = -2 - 9j \end{matrix}$$

S-plane



Evaluate $G(s)$ @ " $s = j\omega$ ",
from $\omega = 0$ rad/s (DC) to
 $\omega = +\infty$ (high freq limit).

ω_n important for freq. resp.

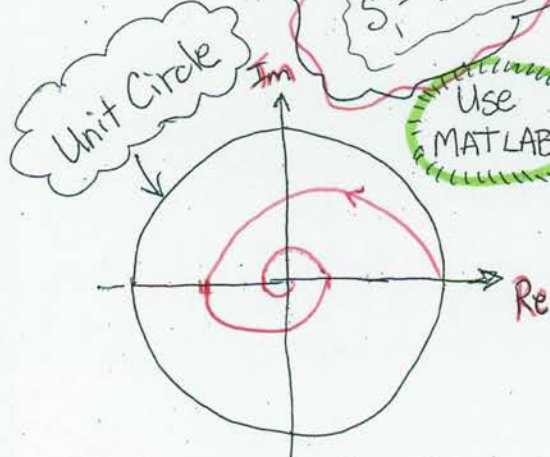
$$\omega_n = \sqrt{2^2 + 9^2} \text{ (rad/sec)}$$

"Break point" @ ω_n .

MATLAB Reality Check...



Hmmm, what does " $e^{s_1 t}$ " look like for $s_1 = -2 + 9j$?



Use MATLAB!

* Example Initial Condition (Free) Response for:

$$\begin{matrix} y(t=0) = 8 \\ \dot{y}(t=0) = 200 \end{matrix}$$

(@ $t=0$, $e^{s t} = e^0 = 1 \dots$)
* K_1 & K_2 must satisfy: (check yourself!)

$$\begin{matrix} y(0) = 8 = K_1 e^{s_1 \cdot 0} + K_2 e^{s_2 \cdot 0} \\ \dot{y}(0) = 200 = s_1 K_1 + s_2 K_2 \end{matrix}$$

$$\begin{bmatrix} 1 & 1 \\ s_1 & s_2 \end{bmatrix} \begin{bmatrix} K_1 \\ K_2 \end{bmatrix} = \begin{bmatrix} 8 \\ 200 \end{bmatrix}$$

Use MATLAB!

$$X = A \setminus b \rightarrow \begin{matrix} K_1 = 4 - 12j \\ K_2 = 4 + 12j \end{matrix}$$

w/ s_1 & s_2 combined, we end up w/ $y(t)$ REAL.

Time Response

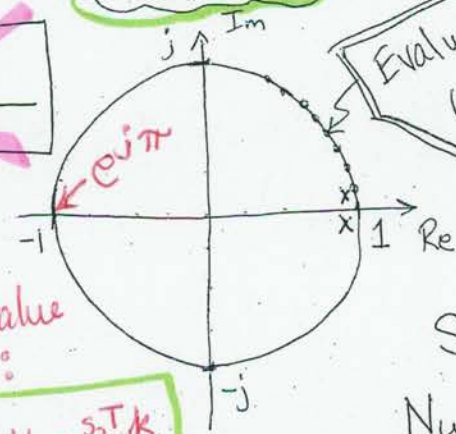
vs.

Frequency Response

Wrong Question!

~~$y(t) = ?$~~

Z-plane



Evaluate $G(z)$ @ " $z = e^{j\omega T}$ "
(from $\omega = 0$ to $\omega = \omega_{Ny}$)

These " $e^{j\omega T}$ " points all lie on the UNIT CIRCLE

Only meaningful to think about value at integer samples:

TBD

$y(k) = K_1 e^{s_1 T k} + K_2 e^{s_2 T k}$

Sample time = T

Nyquist freq. = $\frac{\pi}{T} = \omega_{Ny}$

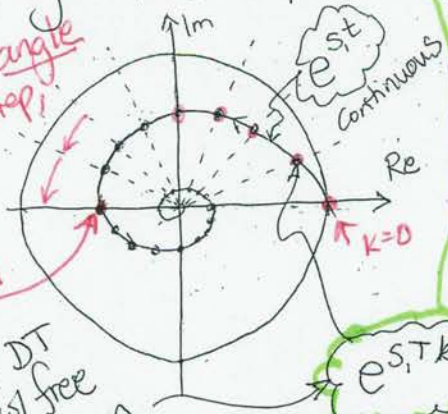
* @ ω_{Ny} , $z = e^{j\frac{\pi}{T}T} = e^{j\pi} = -1$?

(Go below first...)

* Imagine free response of

$G(s) = \frac{85}{s^2 + 4s + 85}$

Same angle @ each step



(Same poles as s-plane time resp. example.)

$s_1 = -2 + 9j$
 $s_2 = -2 - 9j$

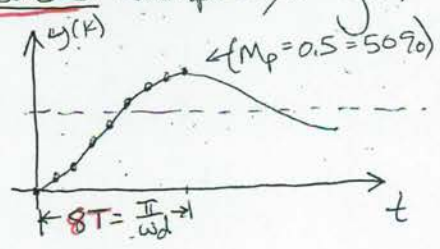
$M_p = 0.5$

Poles of DT system w/ free response shown are:

$z_1 = e^{s_1 T}$, $z_2 = e^{s_2 T}$

for $k = 0, 1, 2, \dots$

(Discrete samples, only!)

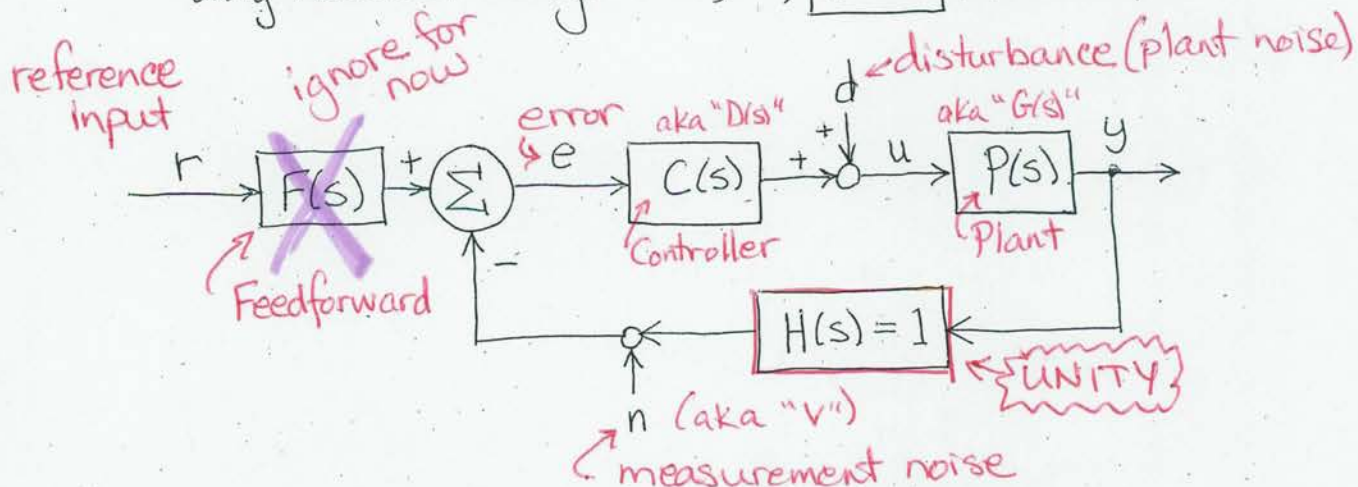


$e^{s\Delta} \approx 1 + s\Delta$, for $|s\Delta| \ll 1.0$
 $e^{s_1 * 0.001} \approx .998 + .009j$? MATLAB check!

$(1 + \frac{-2}{1000}) + (\frac{9}{1000})j$

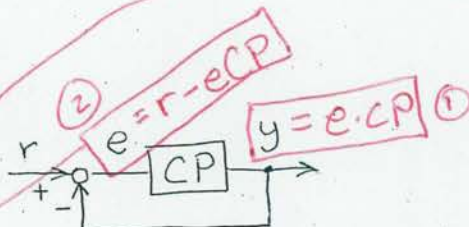
BLOCK DIAGRAMS

"Unity Feedback Configuration", i.e., $H=1$ in feedback path.



BLOCK DIAGRAM ALGEBRA

$$\frac{Y(s)}{R(s)} = \frac{CP}{1+CP}$$



↑ Set all other inputs to ZERO & solve algebraically for $\frac{Y(s)}{R(s)}$...

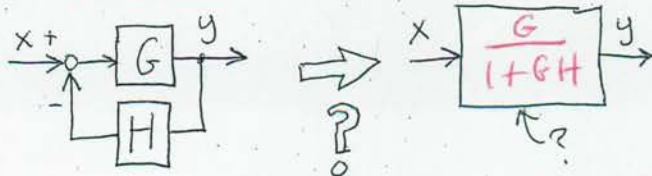
Sensitivity: $\frac{E}{R} = \frac{1}{1+CP} \rightarrow \therefore \text{write ① as: } y = \left(\frac{1}{1+CP}\right) CP \cdot R$

Complementary Sensitivity: $1 - \frac{E}{R} = \frac{1+CP}{1+CP} - \frac{1}{1+CP} = \frac{CP}{1+CP} = \frac{Y}{R}$

Characteristic Equation: $1+CP=0 \leftarrow \text{same for all TF's!}$

Since sa. denom. same pole

More Generally:



"Black's Formula"

$$\frac{Y(s)}{X(s)} = \frac{G}{1+GH}$$

* Whole system has same poles, regardless of particular input or output of a

Frequency Response Bode & Nyquist plots

Radians Per Second! (not Hz)

* CT procedure for freq. resp. of CT TF $G(s)$:

1. Sequentially "plug in" $s = j\omega$, using ω s.t. $0 \leq \omega < \infty$, into $G(s)$.

2. Calculate magnitude: $|G(j\omega)| = \sqrt{(\text{Re}(G(j\omega)))^2 + (\text{Im}(G(j\omega)))^2}$

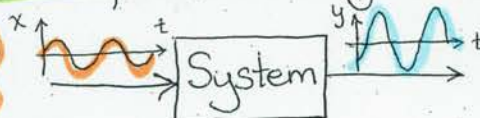
3. Calculate phase: $\angle G(j\omega) = \tan^{-1}\left(\frac{\text{Im}(G(j\omega))}{\text{Re}(G(j\omega))}\right)$

* Bode plot: Plot MAGNITUDE vs ω on log-log scale.
AND Plot PHASE vs ω on semilogx scale.

* Nyquist plot: Plot only MAGN. & PHASE, on polar plot, as $\omega \uparrow$.

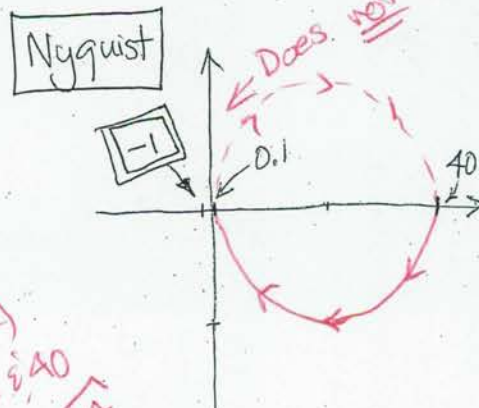
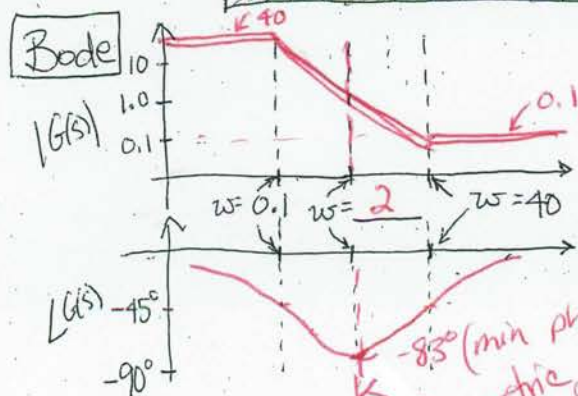
What is a freq. resp. showing?

pure sinusoid in @ ω



find change in amplitude & phase of sinusoid AT SAME ω in output. (i.e., the Fourier component @ freq. ω ...)

Example: $G(s) = \frac{2s + 80}{20s + 2}$



$s=0 \rightarrow \frac{80}{2} = 40 = 32\text{dB}$
 $s=\infty \rightarrow \frac{2}{20} = 0.1 = -20\text{dB}$
 Geometric mean of 40 & 0.1 is $\sqrt{4} = 2$

Does not encircle -1. 😊

Check Yourself...

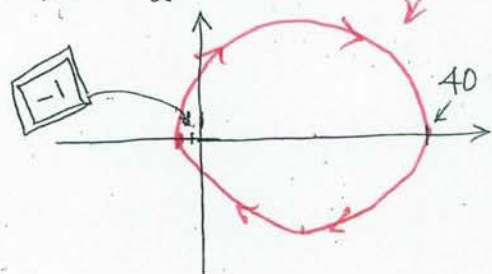
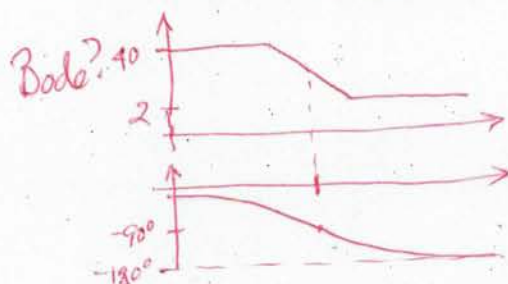
1. Is $C(s) = \frac{2s+80}{20s+2}$ a $\begin{cases} \boxed{N} \text{ Lead compensator?} \\ \boxed{Y} \text{ Lag compensator?} \end{cases}$ *Increases DC gain...*

2. Say $G_a(s) = \frac{as+80}{20s+2}$ and $G_a(\infty j) = -2$.

What value is "a"? ans: $a = -40$

Let $s \rightarrow \infty, \frac{a}{20} = -2, a = -2 \times 20 \uparrow$

3. Sketch a NYQUIST plot for $G_a(s)$:



4. Would the closed-loop system: $\begin{matrix} + \\ \rightarrow \\ \text{[} G_a \text{]} \\ \rightarrow \\ - \end{matrix}$ be STABLE?

a) $N \equiv \# \text{ CW encirclements of } \boxed{-1} =$

$P \equiv \# \text{ unstable poles in } G(s) \text{ (open-loop)} =$

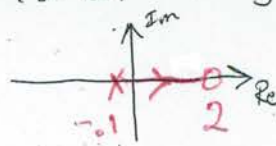
$Z \equiv N+P = \# \text{ unstable closed-loop poles} =$

☐ stable
☒ unstable

one unstable RHP pole

b) $P_{cl} = \frac{G}{1+G} = \frac{as+80}{(a+20)s+(80+2)} \rightarrow \text{Closed-loop pole @ } s = \frac{-82}{a+20} = \frac{-82}{-40} \approx +2.05$

5. Root Locus for $G_a(s)$?



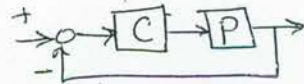
pole: $s = \frac{-2}{20} = -0.1$

zero: $s = \frac{80}{40} = 2$

Loop Transmission → The negative L.T. is "CP", below.
(aka "Loop Gain")

((But really, it is dynamic -
not a "static gain" ...))

check yourself...



$$G_{cl} = \frac{CP}{1+CP}$$

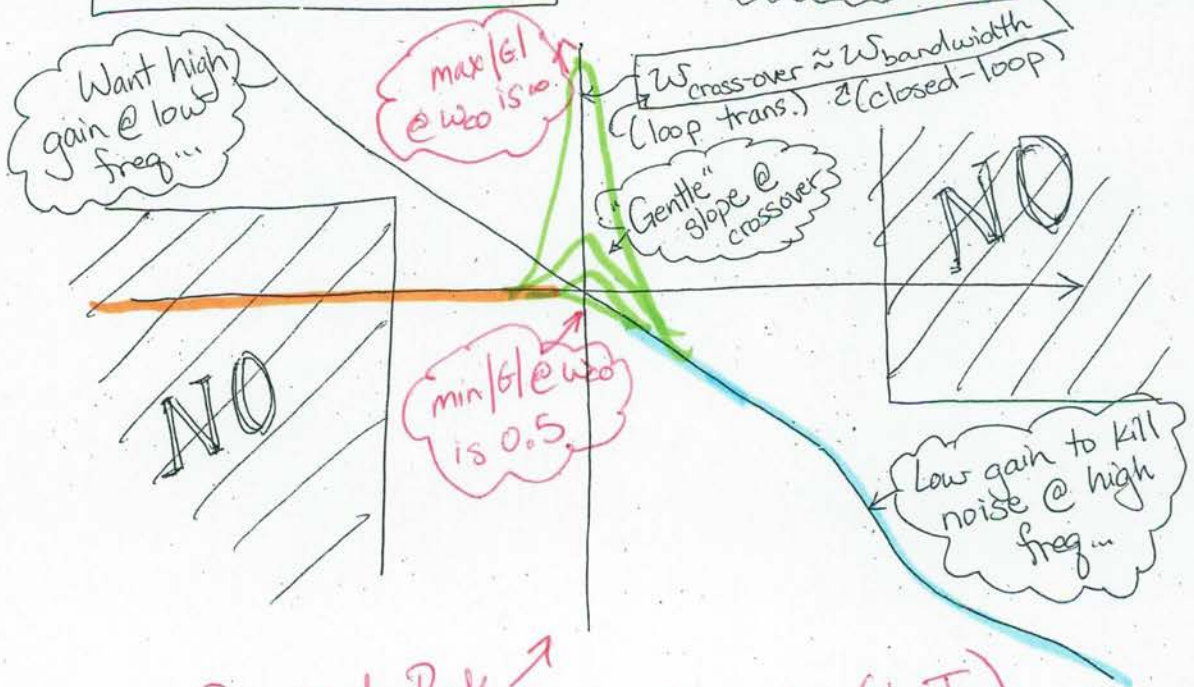
• What is a good approx'n for G_{cl} when:

1. $|CP| \gg 1$ | $\frac{G}{1+G} \approx \frac{1}{\frac{1}{G} + 1} \approx 1$ ← Slightly less than 1, unless $G(0) = \infty!$

2. $|CP| \approx 1$ | $\frac{G}{1+G} \approx$
 $G=1, G=j, G=-1, G=-j$
 $\frac{1}{2}, .5+.5j, \frac{1}{0} = \infty!, .5-.5j$
 min → $\frac{1}{2}$, max → $\frac{1}{0} = \infty!$

3. $|CP| \ll 1$ | $\frac{G}{1+G} \approx \frac{G}{1+\Delta} \approx G$ | $\frac{\epsilon}{1+\epsilon} \approx 0?$ ← No, let's be more precise...

Bode Obstacle Course - AKA "LOOP SHAPING"!



Resonant Peak ↑
Depends on phase of CP (-L.T.)
@ crossover.

Controller Design (aka "compensator")

$$C(s) = K \frac{s+a}{s+b}$$

↑ Example lag or lead...

1. Lag compensation

Which are true? _____

b, c, f

2. Lead comp.

Which are true? _____

a, d, g

a. Adds phase @ ω_{co} , so we are not near " $G=-1$ " case.
↑ crossover

b. Increases gain at low (e.g. DC) freq.

c. $a > b$ } (in $C(s) = K \frac{s+a}{s+b}$)

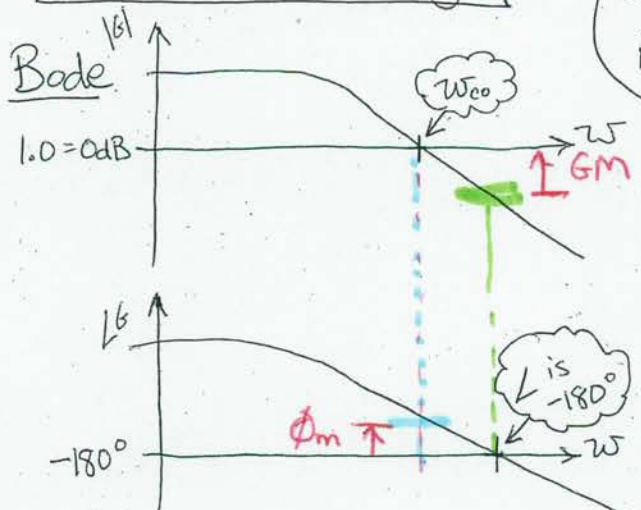
d. $b > a$ }

f. Similar to "PI"

g. Similar to "PD"
(proportional + derivative)

Gain & Phase Margin

A positive margin is GOOD (safe)!



GM: Gain Margin

PM: Phase Margin, ϕ_m

